



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/851,432	05/09/2001	Joerg Dietrich	LINDE-566

CONFIRMATION NO. 2115

23599
 MILLEN, WHITE, ZELANO & BRANIGAN, P.C.
 2200 CLARENDON BLVD.
 SUITE 1400
 ARLINGTON, VA 22201

FORMALITIES LETTER



OC00000006262064

Date Mailed: 07/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/06/2001 HN00R1 00000111 09851432

01 FC:101
02 FC:105710.00 OP
130.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTENTION:

In re Application of:

Joerg DIETRICH

Serial No.: 09/851,432

Filed: May 9, 2001

For: PLATE HEAT EXCHANGER

BOX: MISSING PARTS

Group Art Unit 3743

Examiner: Unassigned

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts dated July 5, 2001:

- Attached is a Declaration and Power of Attorney in compliance with 37 CFR § 1.53 along with the late filing surcharge of \$130.00
- Applicant(s) include the filing fee of \$710.00.
- Applicant(s) include the extra claims fee of \$ for claims in excess of 20 \$18/\$9 per additional claim, for independent claims in excess of 3 \$80/\$40 per additional claim, and/or \$270 for multiple dependent claims.
- Applicant(s) include an executed assignment and Recordation Form Cover Sheet with the recordation fee of \$40.00 (Check No. 21797).
- Attached is a copy of the Notice to File Missing Parts.
- Applicant(s) request that the time for taking action in this case be extended pursuant to 37 CFR 1.136(a) for a period of one/two/three/four/five month(s) along with the fee of \$110.00/\$390.00/\$890.00/\$1390.00/\$1890.00.
- Applicant(s) are entitled to small entity status.
- Attached is a verified English translation of the language text filed on along with the fee of \$130.00.
- Applicant(s) provide the inventor(s) names and addresses as follows: (1) (Name), (City) and (State) along with the \$50/\$25 surcharge.
- Attached is the Sequence Disclosure as required.

- Attached is the Substitute Specification as required.
- Attached are the substitute drawings as required.

Attached is a check in the amount of \$ 840.00. However, the Commissioner is hereby authorized to charge fees under 37 CFR § 1.16 and § 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,



I. William Millen
Registration No. 19,544
Attorney/Agent for Applicants

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Attorney Docket No.: LINDE-566

Date: September 5, 2001